

Abduction, Unpredictability and Garden of Eden

Chiaki Sakama

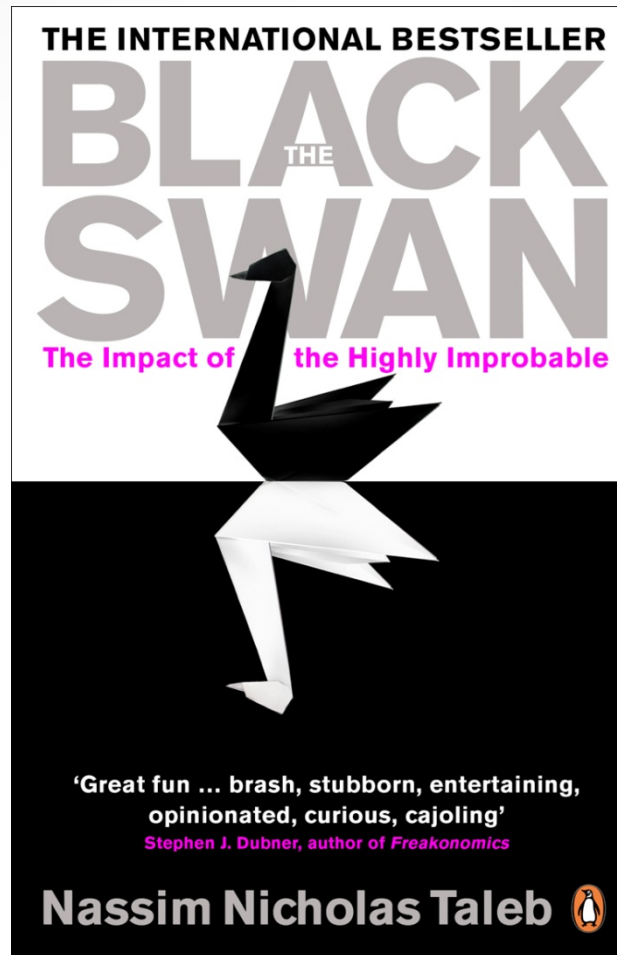
(Wakayama University, Japan)

Katsumi Inoue

(National Institute of Informatics, Japan)

March 11, 2011

- A most powerful earthquake hit the north-east coast of Japan and triggered a massive tsunami.
- The quake and the tsunami caused explosions and meltdown of nuclear plants at Fukushima, which has raised great concern about the safety of nuclear power stations all over the world.
- Japanese experts said that such a powerful earthquake was ``**unthinkable**'' and the accident happened at the nuclear plant was ``**unexpected**''.



"The inability to predict outliers implies the inability to predict the course of history"

Contributions

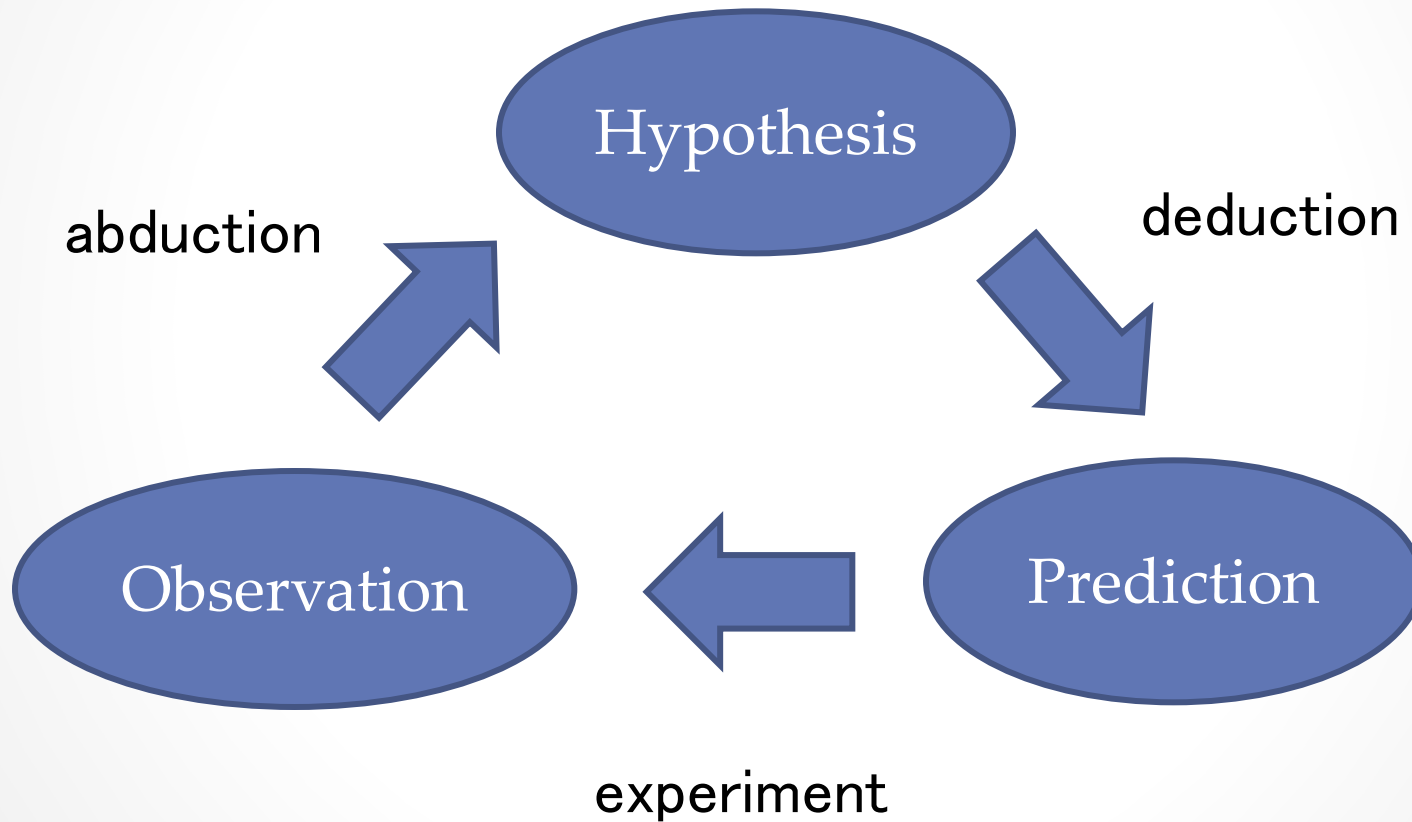
- Provide a formal account of “**(un)predictability**” based on **abduction**.
- Apply the notion of (un)predictability to the problem of identifying patterns in **cellular automata (CA)**.
- Provide computational methods of CA configurations in **logic programming**.

What is “(un)predictable”?

- if something is **predictable**, you know in advance that it will happen or what it will be like
- if something is **unpredictable** that cannot be predicted because it changes a lot or depends on too many different things

– *Oxford Advanced Learner's Dictionary*

Hypothetico-Deductive Model



Abductive Framework

- A background theory \mathbf{B} , an observation \mathbf{O} , a hypothesis \mathbf{H} and an event \mathbf{E} are all (consistent) first-order formulas.
- An **abductive framework** is a pair $\langle \mathbf{B}, \mathcal{H} \rangle$ where \mathcal{H} is a set of formulas representing possible hypotheses.
- Each element in \mathcal{H} is called an **abducible**.

Abduction and Prediction

- Given an abductive framework $\langle \mathbf{B}, \mathcal{H} \rangle$ and an observation \mathbf{O} , a hypothesis $\mathbf{H} \in \mathcal{H}$ is an **explanation** of \mathbf{O} under $\langle \mathbf{B}, \mathcal{H} \rangle$ if
 1. $\mathbf{B} \wedge \mathbf{H} \models \mathbf{O}$,
 2. $\mathbf{B} \wedge \mathbf{H}$ is consistent.
- In this case, \mathbf{O} is **explained** by \mathbf{H} under $\langle \mathbf{B}, \mathcal{H} \rangle$.
- The hypothesis \mathbf{H} may deduce new events under \mathbf{B} . An event \mathbf{E} is a **prediction** by \mathbf{H} if $\mathbf{B} \wedge \mathbf{H} \models \mathbf{E}$.

(Un)predictability: Definition

- An event \mathbf{E} is **predictable** by $\mathbf{H} \in \mathcal{H}$ under $\langle \mathbf{B}, \mathcal{H} \rangle$ if
 1. $\mathbf{B} \wedge \mathbf{H} \models \mathbf{E}$,
 2. $\mathbf{B} \wedge \mathbf{H}$ is consistent.
- An event \mathbf{E} is **predictable** under $\langle \mathbf{B}, \mathcal{H} \rangle$ if \mathbf{E} is predictable by some $\mathbf{H} \in \mathcal{H}$ under $\langle \mathbf{B}, \mathcal{H} \rangle$.
- An event \mathbf{E} is **unpredictable** under $\langle \mathbf{B}, \mathcal{H} \rangle$ if \mathbf{E} is not predictable by any $\mathbf{H} \in \mathcal{H}$ under $\langle \mathbf{B}, \mathcal{H} \rangle$.

Explainability and Predictability

- Let $\langle \mathbf{B}, \mathcal{H} \rangle$ be an abductive framework.
An event \mathbf{E} is predictable under $\langle \mathbf{B}, \mathcal{H} \rangle$
iff \mathbf{E} is explainable under $\langle \mathbf{B}, \mathcal{H} \rangle$.

Example

- Let $\langle \mathbf{B}, \mathcal{H} \rangle$ be the abductive framework such that:

$$\mathbf{B}: \quad p \rightarrow r, \quad q \rightarrow \neg r, \quad s \rightarrow t.$$

$$\mathcal{H}: \quad p, \quad q.$$

In this case, p , q , r and $\neg r$ are predictable under $\langle \mathbf{B}, \mathcal{H} \rangle$, while s and t are unpredictable.

- The unpredictability is due to the incompleteness of hypothesis.
- Let $\langle \mathbf{B}, \mathcal{F} \rangle$ be an abductive framework where \mathcal{F} is the set of all formulas. Then, any event \mathbf{E} is predictable under $\langle \mathbf{B}, \mathcal{F} \rangle$ iff $\mathbf{B} \wedge \mathbf{E}$ is consistent.

Formal Properties

Let $\langle \mathbf{B}, \mathcal{H} \rangle$ be an abductive framework.

- If an event \mathbf{E} is predictable by \mathbf{H} under $\langle \mathbf{B}, \mathcal{H} \rangle$ and an event $\neg \mathbf{E}$ is predictable by \mathbf{H}' under $\langle \mathbf{B}, \mathcal{H} \rangle$, then $\mathbf{B} \wedge \mathbf{H} \wedge \mathbf{H}'$ is inconsistent.
- If $\mathbf{B} \not\models \neg \mathbf{E}$, then \mathbf{E} is unpredictable under $\langle \mathbf{B}, \mathcal{H} \rangle$.
- In propositional abductive framework, the complexity of deciding whether an event is (un)predictable is Σ^P_2 -complete.

Complex Systems

- A large-scale complex, organized, and adaptive behavior can emerge from simple interactions among myriad individuals.
- Complex systems are applied to a broad range of biological, technological, and social phenomena.
- Dynamics of a system are complex in its nature, and the behavior is generally **unpredictable**.

A New Kind of Science

Stephen Wolfram (2002)

- *"For our every day experience has led us to expect that an object that looks complicated must have been constructed in a complicated way ... But ... at least sometimes such an assumption can be completely wrong".*
- He observes this phenomenon in **cellular automata (CAs)** in which complex behaviors of a system emerge from a simple initial condition and a simple transition rule.
- *"It is this basic phenomenon that is ultimately responsible for most of the complexity that we see in nature."*

Cellular Automata (CA)

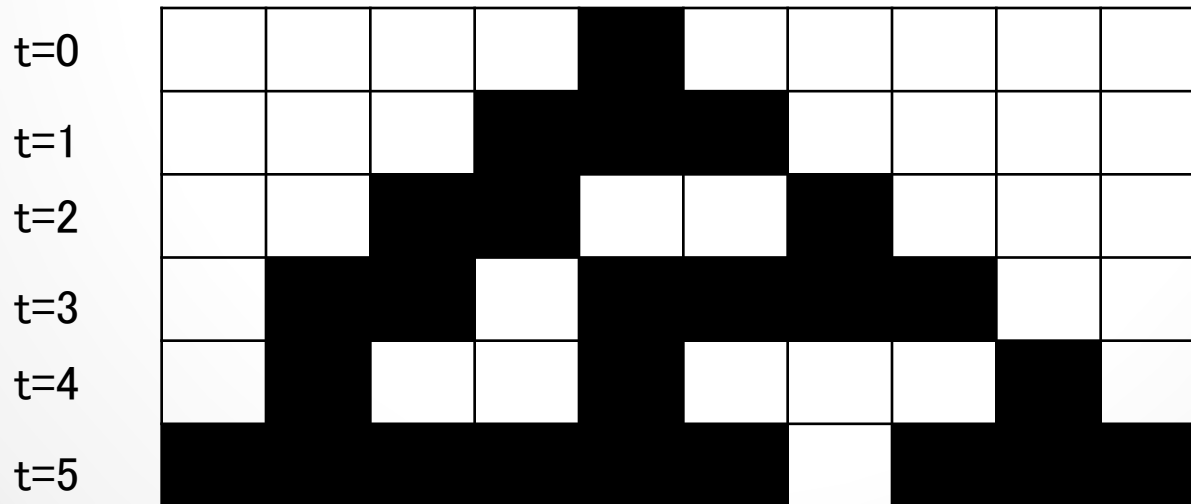
- A CA consists of a regular grid of **cells**.
- A cell has a finite number of possible **states**.
- The state of each cell changes synchronously in discrete time steps according to local and identical **transition rules**.
- The state of a cell in the next time step is determined by its current state and the states of its surrounding cells (**neighborhood**).
- The collection of all cellular states in the grid at some time step is called a **configuration**.

1-dimensional CA

current pattern	111	110	101	100	011	010	001	000
new state for center cell	0	0	0	1	1	1	1	0

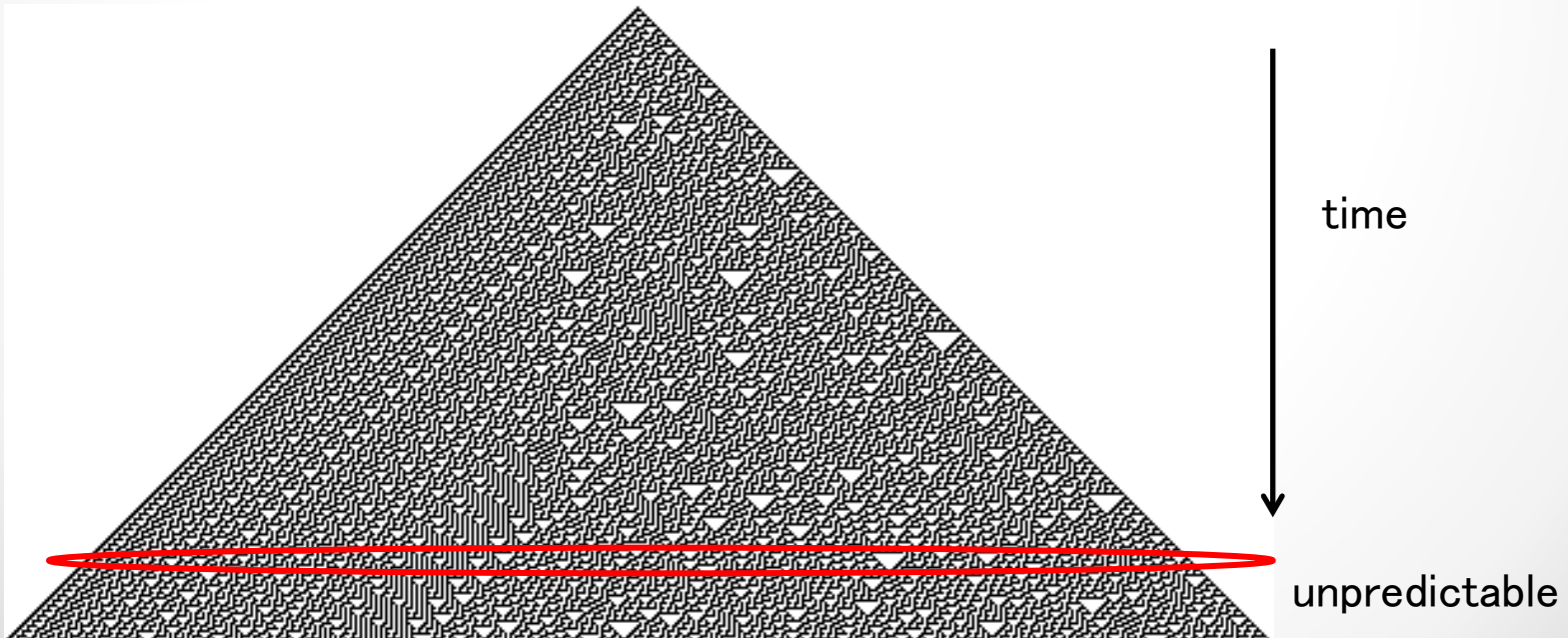
Wolfram's Rule 30

0:white 1:black



Unpredictability in CA

- Evolving patterns depend strongly on the initial configuration and a rule used. ($2^8=256$ possible rules)
- Future states are **unpredictable** unless doing a state by state computation.



Representing CA in Abductive Framework

- Represent transition rules as a background knowledge **B**.

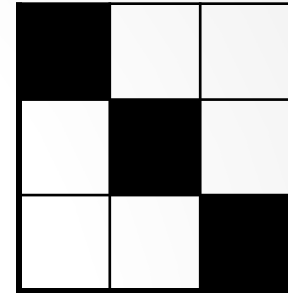
ex. Rule 30: $x_i^{t+1} = x_{i-1}^t \oplus (x_i^t \vee x_{i+1}^t)$

- Every possible initial configuration is put in a hypotheses space \mathcal{H} .
- Then, a configuration **E** is produced from an initial configuration **H** using a transition rule of **B** iff **E** is predictable by $\mathbf{H} \in \mathcal{H}$ under $\langle \mathbf{B}, \mathcal{H} \rangle$.

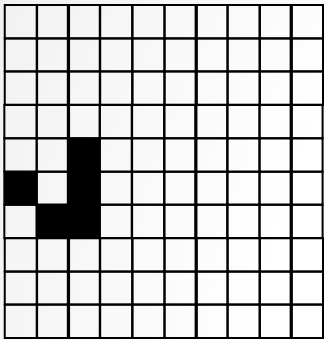
x_{i-1}^t	x_i^t	x_{i+1}^t	x_i^{t+1}
1	1	1	0
1	1	0	0
1	0	1	0
1	0	0	1
0	1	1	1
0	1	0	1
0	0	1	1
0	0	0	0

Game of Life (LIFE)

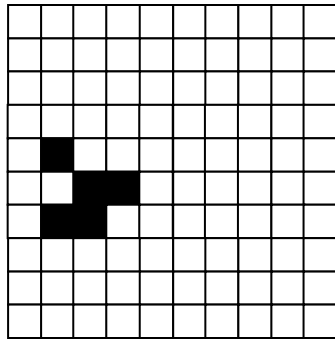
- 2-dimensional 2-state CA.
- The state of a cell is either black (alive) or white (dead).
- A neighborhood consists of a central cell and the nearest 8 surrounding cells.
- Cellular states change according to the CA-rules:
 - Any live cell with 2 or 3 live neighbors stays alive at the next time step; otherwise, the cell dies of loneliness or overcrowding at the next time step.
 - Any dead cell with exactly 3 live neighbors will become alive.



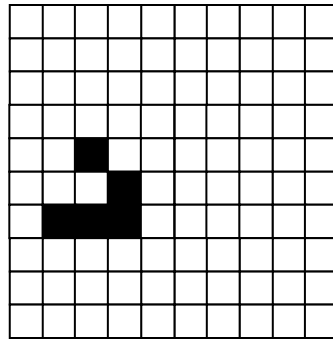
The “Glider” Pattern in LIFE



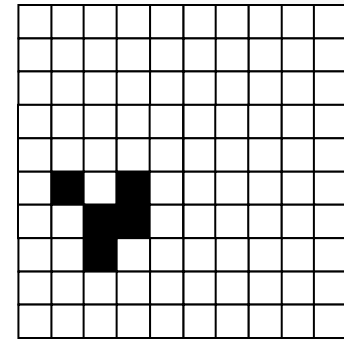
t=0



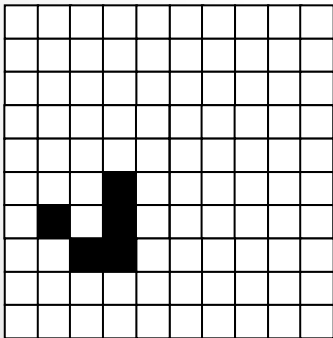
t=1



t=2



t=3



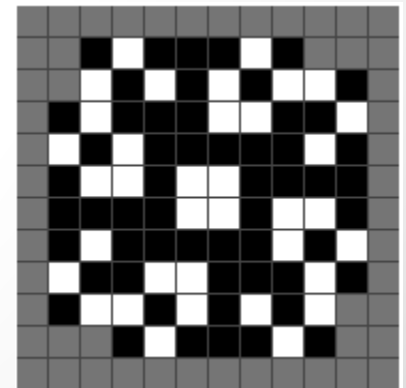
t=4

.....

The pattern at t=0 moves across the grid and appears at t=4.

Garden of Eden

- A **Garden of Eden (GOE)** is a configuration with no predecessor. That is, a GOE cannot be reached from any initial configuration.
- An **orphan** is a finite pattern that has no redecessor regardless of the states of the neighbors. Each GOE contains at least one such an orphan.
- Rule 30 of 1-dimensional CA has no GOE.
- In LIFE, the smallest known orphan was found in December 2011, which has 56 living cells and fits in an 10 x 10 square.



GOE and Unpredictability

- A GOE is considered a configuration that is unpredictable by any predecessor under a transition rule.
- Let \mathbf{B} be a background theory specifying a transition rule of a CA and \mathcal{H} is the set of possible initial configurations. Then, a configuration \mathbf{E} is a GOE iff \mathbf{E} is unpredictable under $\langle \mathbf{B}, \mathcal{H} \rangle$.
- The results provide connections between (un)predictability in formal logic and the corresponding notion in CAs.

Computation in Logic Programming

- An abductive framework for CAs is realized by **(abductive) logic programming**.
- We have implemented LIFE and verified that the GOE of 10x10 is not generated by one step of deduction from any configuration of a 12x12 grid.
- It is known that there are no GOE that are 6x6 cells wide or smaller. It is still open whether there is any GOE between 7x7 and 9x9 grids.