

*Computing Preferred Answer Sets  
in Answer Set Programming*

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# 1. Introduction

- **Prioritized Logic Programs: PLP ( $P, \Phi$ )**

Sakama and Inoue [JICSLP-96, AI-2000]

⇒ explicit representation of priorities in a logic program

⇒ can realize various forms of nonmonotonic reasoning

⇒ Semantics is given by *preferred answer sets*.

- **Different approaches:** frameworks and implementations  
(e.g.) -ordered logic programs (Delgrande and Schaub '03)  
-preferred answer sets of ELP (Brewka and Eiter '99, '03)

- **Problems of prior works:**

Sakama and Inoue's naïve procedure of computing preferred answer sets of PLP [AI-2000]

⇒ is applicable to *a limited class* and is turned *unsound*.

- We propose *a sound and complete procedure* to compute all preferred answer set of PLP in answer set programming.
  - a generate and test algorithm
  - meta-programming

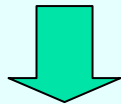
## 《Our method》

- **the idea:** construct *a translated program*  $T[P, \Phi, S]$  from a PLP  $(P, \Phi)$  and any answer set  $S$  of  $P$  whose answer set is preferable to  $S$ ,
  - ➔ enables *to generate preferences* to decide whether any answer set of  $P$  is preferred or not.

- We propose *a sound and complete procedure* to compute all preferred answer set of PLP in answer set programming.
  - a generate and test algorithm
  - meta-programming

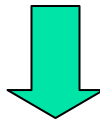
## 《Our method》

- **the idea:** translate a PLP  $(P, \Phi)$  and any answer set  $S$  of  $P$  into a logic program  $T[P, \Phi, S]$  whose answer sets are preferable to  $S$ ,



enables *to generate preferences* to decide whether any answer set of  $P$  is preferred or not.

- 
- **The soundness and completeness theorems** for the procedure are proved.
  - The application to legal reasoning shows the possibility for our procedure to handle the **dynamic preferences** in addition to the original static ones of PLP,



- widen the class of PLPs
- further increase their expressiveness.

## 2. Preliminaries

- a *general extended disjunctive program* (GEDP) is a set of rules of the form:

$$\begin{aligned} &L_1 / \dots / L_k / \text{not } L_{k+1} / \dots / \text{not } L_l \\ &\leftarrow L_{l+1}, \dots, L_m, \text{not } L_{m+1}, \dots, \text{not } L_n \quad (1) \\ &\quad (n \geq m \geq l \geq k \geq 0) \end{aligned}$$

$\text{not } L_i$  : called a NAF literal

- A rule with variables  
⇒ a set of its ground instances

# Semantics of GEDP

$Lit_P$ : a set of all ground literals in the language of  $P$

## Definition 1

(1) Let  $P$  be a *not-free* ground GEDP

The *answer set* of  $P$  is the smallest subset  $S$  of  $Lit_P$  satisfying the following conditions:

- ▶ for any rule such as  $L_1/\dots/L_k \leftarrow L_{l+1}, \dots, L_m$  in  $P$ ,  
if  $\{L_{l+1}, \dots, L_m\} \subseteq S$ , then  $L_i \in S$  for some  $i$  ( $1 \leq i \leq k$ ).  
In particular, for any integrity constraint such as  
 $\leftarrow L_{l+1}, \dots, L_m$  in  $P$ ,  $\{L_{l+1}, \dots, L_m\} \not\subseteq S$  holds.
- ▶ if  $S$  contains a pair of complementary literals,  
then  $S = Lit_P$ .



(2) Let  $P$  be any ground GEDP.

For any set  $S \subseteq Lit_P$ , let  $P^S$  (so called *reduct*) be the *not-free* ground GEDP obtained as follows:

→ a rule:  $L_1 / \dots / L_k \leftarrow L_{l+1}, \dots, L_m$  is in  $P^S$

if there is a ground rule in  $P$  of the form

$$L_1 / \dots / L_k / \text{not } L_{k+1} / \dots / \text{not } L_l \leftarrow \\ L_{l+1}, \dots, L_m, \text{not } L_{m+1}, \dots, \text{not } L_n$$

where

$$\{L_{k+1}, \dots, L_l\} \subseteq S \text{ and } \{L_{m+1}, \dots, L_n\} \cap S = \phi.$$

For  $P^S$ , its answer sets have been defined in (1).

Then,  $S$  is an *answer set* of  $P$  if  $S$  is an answer set of  $P^S$ .

- 
- An answer set is *consistent* if it is not  $Lit_P$ .
  - The answer set  $Lit_P$  is said to be *contradictory*.
  - If a GEDP  $P$  has a consistent answer set, then, it is *consistent* ;  
otherwise, it is *inconsistent*.

## 2.2 Prioritized Logic Programs

$$\mathcal{L}_P^* \stackrel{\text{def}}{=} Lit_P \cup \{ not L \mid L \in Lit_P \}$$

$\leq$  : a priority relation (pre-order on  $\mathcal{L}_P^*$ )

### Definition 2 (priorities between literals)

$$e_1, e_2 \in \mathcal{L}_P^*$$

$e_1 \leq e_2$  :  $e_2$  has a higher priority than  $e_1$

$e_1 < e_2$  :  $e_1 \leq e_2$  and  $e_2 \not\leq e_1$

### Definition 3 (prioritized logic programs:PLP)

A prioritized logic program (PLP) is defined as a pair  $(P, \Phi)$  where  $P$  is a GEDP and  $\Phi$  is a set of priorities over  $\mathcal{L}_P^*$ .

# Semantics of PLP

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$(P, \Phi)$ : a PLP

$\sqsubseteq$  : a preference relation

defined over the answer sets of  $P$   
according to priorities in  $\Phi$

$S_1 \sqsubseteq S_2$  : preference

$S_2$  is *preferable* to  $S_1$  w.r.t  $\Phi$   
for answer sets  $S_1$  and  $S_2$  of  $P$ .

## Definition 4 (preference between answer sets)

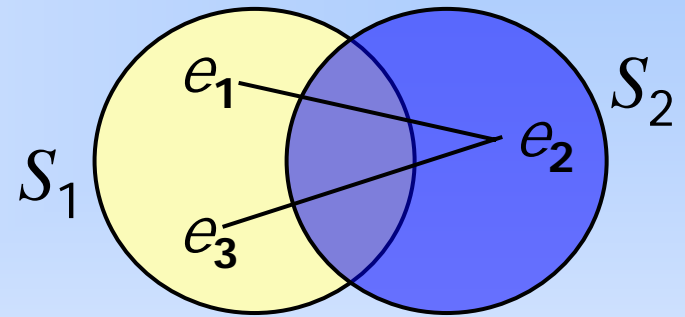
For any answer sets  $S_1$ ,  $S_2$  and  $S_3$  of  $P$ ,

( i )  $S_1 \sqsubseteq S_1$

( ii )  $S_1 \sqsubseteq S_2$  if

$$\begin{aligned} & \exists e_2 \in S_2 - S_1 [ \exists e_1 \in S_1 - S_2 \text{ such that } (e_1 \leq e_2) \in \Phi^* \\ & \wedge \neg \exists e_3 \in S_1 - S_2 \text{ such that } (e_2 < e_3) \in \Phi^* ] \end{aligned}$$

( iii ) if  $S_1 \sqsubseteq S_2$  and  $S_2 \sqsubseteq S_3$ , then  $S_1 \sqsubseteq S_3$



# Semantics of PLP

## Definition 5 (preferred answer sets)

An answer set  $S$  of  $P$  is called a *preferred answer set* of PLP  $(P, \Phi)$  if  $S \sqsubseteq S'$  implies  $S' \sqsubseteq S$  with respect to  $\Phi$  for any answer set  $S'$  of  $P$ .

In other words,

An answer set  $S$  of  $P$  is called a *preferred answer set* of PLP  $(P, \Phi)$  if  $S \not\sqsubseteq S'$  with respect to  $\Phi$  for any any answer set  $S'$  of  $P$ .

## Example 1

**PLP**  $(P, \Phi)$

$P : p \leftarrow \text{not } q,$   
 $q \leftarrow \text{not } p,$

$\Phi = \{ p \leq \text{not } p \}$



preferred answer sets of  $(P, \Phi) : \{ q \}$

**PLP**  $(P', \Phi')$

$P' : p \leftarrow \text{not } q,$   
 $q \leftarrow \text{not } p,$   
 $p' \leftarrow \text{not } p,$

$\Phi' = \{ p \leq p' \}$

preferred answer sets of  $(P', \Phi') : \{ p', q \}$

### 3. Computing Preferred Answer Sets

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Let  $(P, \Phi)$  be a PLP s.t.  $\Phi$  contains no NAF formulas.

«Our method of computing *preferred answer sets*»

generate-and-test algorithms

- ① **generate** all answer sets of  $P$
- ② **check** whether each answer set of  $P$  is a preferred answer set of  $(P, \Phi)$  using *preferences generated by the translated program  $T[P, \Phi, S]$*  constructed from PLP  $(P, \Phi)$  and any answer set  $S$  of  $P$ .



## 3.1 Translation for Preference Generation

### → meta-programming:

The priorities of  $\Phi$  as well as a GEDP  $P$  are represented in the same translated program  $T[P, \Phi, S]$  s.t.

a *priority*:  $c \leq d \in \Phi \iff$  a *literal*:  $\leq (c_t, d_t)$

where  $c_t, d_t$  are terms representing literals  $c, d$ .

✦  $L \in S$  is **renamed** by a newly introduced  $L^*$

in order to encode a given answer set  $S$  and another answer set  $S'$  in a same answer set of  $T[P, \Phi, S]$ .

✦ For a term  $c_t$  representing a literal  $c$  as well as its renamed  $c^*$ ,

$m_1(c_t)$  means  $c \in S$ , and  $m_2(c_t)$  means  $c \in S'$ ,

where  $m_1, m_2$  are predicate symbols.

## Definition 6

$$Lit_P^* = \{ L^* / L \in Lit_P \}, \quad C = \{ L_t / L \in Lit_P \}$$

where  $Lit_P$  : a finite set,

$L_t$  : a term containing *no function symbols*.

## Definition 7

Given PLP  $(P, \Phi)$  and an answer set  $S$  of  $P$

$$T[P, \Phi, S] \stackrel{\text{def}}{=} P \cup \Gamma \cup \Pi$$

- $\Gamma$  is a set of *domain dependent* rules constructed from  $(P, \Phi)$  and  $S$ ,
- $\Pi$  is a set of *domain independent* rules.

$$T[P, \Phi, S] \stackrel{\text{def}}{=} P \cup \Gamma \cup \Pi$$

$\Gamma$ :

1.  $L^* \leftarrow$ , for any  $L \in S$

2.  $\underline{\leq}(a_t, b_t) \leftarrow$ , for any  $a \leq b \in \Phi$   
where  $a_t, b_t \in C$

3.  $m_1(L_t) \leftarrow L^*$ ,  $m_2(L_t) \leftarrow L$ ,

for any  $L \in Lit_P$ ,  $L^* \in Lit_P^*$   
where  $L_t \in C$

$\Pi$  :

4.  $\leq(x, x) \leftarrow$

5.  $\leq(x, z) \leftarrow \leq(x, y), \leq(y, z)$ .

6.  $<(x, y) \leftarrow \leq(x, y), \text{ not } \leq(y, x)$ .

7.  $gr_1(x, y) \leftarrow m_1(x), \leq(x, y), m_2(y), \text{ not } m_2(x), \text{ not } m_1(y)$ .

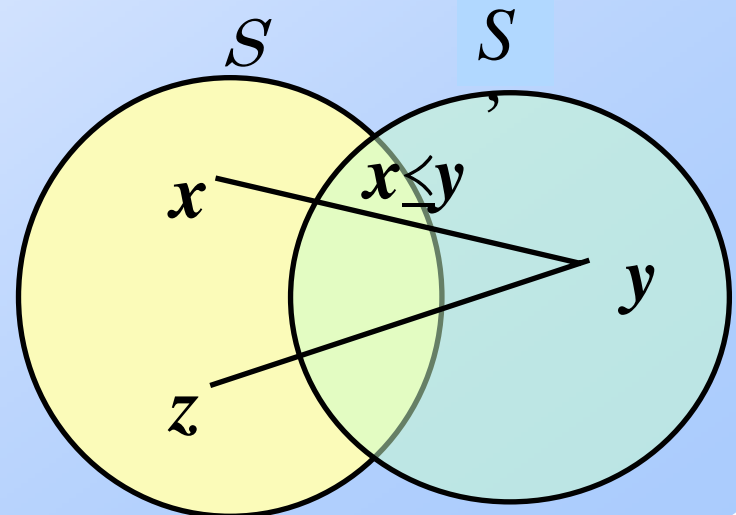
8.  $gr_2(y, z) \leftarrow m_2(y), <(y, z), m_1(z), \text{ not } m_1(y), \text{ not } m_2(z)$ .

9.  $attacked(y) \leftarrow gr_2(y, z)$

10.  $defeated(x) \leftarrow gr_1(x, y), \text{ not } attacked(y)$ .

11.  $better \leftarrow defeated(x)$ .

12.  $\leftarrow \text{ not better}$ .



## *tie-preferred* and *strictly preferred* answer set

### Definition 8

A preferred answer set  $S$  of a PLP  $(P, \Phi)$  is called a *tie-preferred* if there is another preferred answer set  $S'$  of  $(P, \Phi)$  such that  $S \sqsubseteq S'$  and  $S' \sqsubseteq S$ .

$S$  is called a *strictly preferred* if  $S \not\sqsubseteq S'$  for any preferred answer set  $S'$  of  $P$ .

## **Theorem 1** (Soundness/Completeness)

*Let  $T[P, \Phi, S]$  be a GEDP constructed from a PLP  $(P, \Phi)$  and an answer set  $S$  of  $P$ .*

- *Then, if  $T[P, \Phi, S]$  is consistent,  $S' \stackrel{\text{def}}{=} E \cap \text{Lit}_P$  is another answer set of  $P$  such that  $S \sqsubseteq S'$  for any answer set  $E$  of  $T[P, \Phi, S]$ .*
- *Conversely, if there is another answer set  $S'$  of  $P$  such that  $S \sqsubseteq S'$ , then  $T[P, \Phi, S]$  is consistent.*

## Theorem 2

*Let  $T[P, \Phi, S]$  be a GEDP constructed from a PLP  $(P, \Phi)$  and an answer set  $S$  of  $P$ .*

*Then,  $T[P, \Phi, S]$  is inconsistent if and only if  $S$  is a strictly preferred answer set of  $(P, \Phi)$ .*

## Example 2

PLP  $(P, \Phi)$

$$P : \begin{array}{l} p \mid q \leftarrow \\ q \mid r \leftarrow \end{array} \quad \Phi : \{ p \leq q, q \leq r \}$$

$$Lit_p = \{ p, q, r, \neg p, \neg q, \neg r \}$$

$$Lit_p^* = \{ p^*, q^*, r^*, \neg p^*, \neg q^*, \neg r^* \}$$

$$C = \{ p_t, q_t, r_t, np_t, nq_t, nr_t \}$$



**Rule 2:**  $\leq(p_t, q_t) \leftarrow, \leq(q_t, r_t) \leftarrow$

**Rule 3 :**  $m_1(p_t) \leftarrow p^*, \quad m_1(np_t) \leftarrow \neg p^*,$   
 $m_2(p_t) \leftarrow p, \quad m_2(np_t) \leftarrow \neg p, \quad \text{etc.}$



# PLP $(P, \Phi)$

$$P : \begin{array}{l} p \mid q \leftarrow \\ q \mid r \leftarrow \end{array} \quad \Phi : \{ p \leq q, q \leq r \}$$

→ answer sets of  $P$ :  $S_1 = \{ p, r \}$ ,  $S_2 = \{ q \}$



**Rule 1:**  $p^* \leftarrow, r^* \leftarrow,$  for  $S_1$

→  $T[P, \Phi, S_1] = P \cup \Gamma_1 \cup \Pi$

$$\Gamma_1 : \begin{array}{l} p^* \leftarrow, \quad r^* \leftarrow, \quad \leq (p_v \ q_t) \leftarrow, \quad \leq (q_v \ r_t) \leftarrow, \\ m_1(p_t) \leftarrow p^*, \quad m_1(q_t) \leftarrow q^*, \quad m_1(r_t) \leftarrow r^*, \\ m_1(np_t) \leftarrow \neg p^*, \quad m_1(nq_t) \leftarrow \neg q^*, \quad m_1(nr_t) \leftarrow \neg r^*, \\ m_2(p_t) \leftarrow p, \quad m_2(q_t) \leftarrow q, \quad m_2(r_t) \leftarrow r, \\ m_2(np_t) \leftarrow \neg p, \quad m_2(nq_t) \leftarrow \neg q, \quad m_2(nr_t) \leftarrow \neg r. \end{array}$$

## 3.2 A Procedure of Computing Preferred Answer Sets

Procedure *CompPAS* ( $P, \Phi, \Delta$ )

**Input:** a PLP ( $P, \Phi$ )

**Output:** the set  $\Delta$  of all preferred answer sets of ( $P, \Phi$ )

**Step1:** Compute the set  $AS$  of all answer sets of  $P$ .

**Step2:** If  $\Phi$  is empty,

(a) then  $\Delta := AS$ , return  $\Delta$ .

(b) otherwise,

i.  $\Omega := \{s_i \mid 1 \leq i \leq |AS|\}$  //  $s_i$  : the individual constant

ii. To each answer set  $S \in AS$ , assign the respective  $s_i \in \Omega$   
called answer set ID.

**Step3:** if  $T[P, \Phi, S]$  is consistent for any answer set  $S \in AS$  whose  
answer set ID is  $s$ , do from (a) to (b) for its each answer set  $E$ ,

(a) put  $S' := E \cap Lit_p$  and find the answer set ID  $s'$  for  $S'$

(b) put  $\Sigma := \Sigma \cup \{ \sqsubseteq (s, s') \leftarrow \}$  //initially  $\Sigma$  is empty.

**Step4:** Compute an answer set  $U$  of the logic program as follows;

$$\Psi \cup \Sigma \cup \{\text{as}(s) \leftarrow \mid s \in \Omega\}$$

**Step5:** Return  $\Delta$  which is given by

$$\Delta \stackrel{\text{def}}{=} \{S \in AS \mid S \text{ is an answer set whose answer set ID } s \text{ satisfies } \mathbf{p-as}(s) \in U \}$$

**Table 1.** A set  $\Psi$  of rules

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$$\begin{aligned} \Psi : \quad & \sqsubseteq (x, x) \leftarrow \text{as}(x). \\ & \sqsubseteq (x, z) \leftarrow \sqsubseteq (x, y), \sqsubseteq (y, z). \\ & \sqsubset (x, y) \leftarrow \sqsubseteq (x, y), \textit{not} \sqsubseteq (y, x). \\ & \text{worse}(x) \leftarrow \sqsubset (x, y). \\ & \mathbf{p-as}(x) \leftarrow \text{as}(x), \textit{not} \text{worse}(x). \end{aligned}$$

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## Example 2

PLP  $(P, \Phi)$

$P: p \mid q \leftarrow$

$q \mid r \leftarrow$

$\Phi: \{ p \leq q, q \leq r \}$

**Step 1:**

→ answer sets of  $P$ :

$S_1 = \{ p, r \}, S_2 = \{ q \}$

**Step 3:**

→  $T[P, \Phi, S_1] = P \cup \Gamma_1 \cup \Pi \Rightarrow$  *inconsistent*

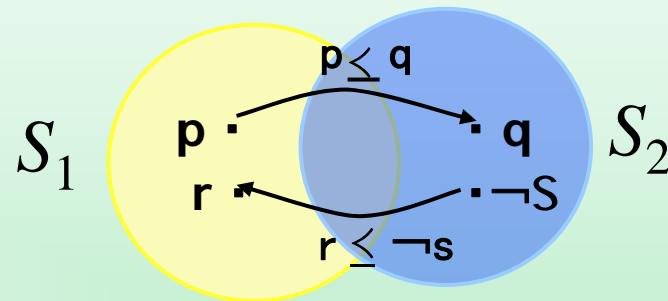
$\Gamma_1:$

$p^* \leftarrow,$	$r^* \leftarrow,$	$\leq(p \vee q_t) \leftarrow,$	$\leq(q \vee r_t) \leftarrow,$
$m_1(p_t) \leftarrow p^*,$	$m_1(q_t) \leftarrow q^*,$	$m_1(r_t) \leftarrow r^*,$	
$m_1(np_t) \leftarrow \neg p^*,$	$m_1(nq_t) \leftarrow \neg q^*,$	$m_1(nr_t) \leftarrow \neg r^*,$	
$m_2(p_t) \leftarrow p,$	$m_2(q_t) \leftarrow q,$	$m_2(r_t) \leftarrow r,$	
$m_2(np_t) \leftarrow \neg p,$	$m_2(nq_t) \leftarrow \neg q,$	$m_2(nr_t) \leftarrow \neg r.$	

→  $T[P, \Phi, S_2] \Rightarrow S_2 \sqsubseteq S_1$       $S_1$ : a preferred answer set

# Example 5

$P : \quad p \leftarrow \text{not } q,$   
 $\quad \quad q \leftarrow \text{not } p.$   
 $\quad \quad r \leftarrow p, \quad \neg s \leftarrow q.$



answer sets of  $P : S_1 = \{ p, r \}, S_2 = \{ q, \neg s \}$

$T[P, \Phi, S_1] \Rightarrow S_1 \sqsubseteq S_2$

$T[P, \Phi, S_2] \Rightarrow S_2 \sqsubseteq S_1$

$\longrightarrow \Sigma$

**Step3:**  $\Sigma = \{ \sqsubseteq (s_1, s_2), \sqsubseteq (s_2, s_1) \}$

**Step4:**  $\Psi \cup \Sigma \cup \{ \text{as } (s_1) \leftarrow, \text{ as } \}$

$S_1, S_2$  : preferred answer sets

## Example 4 *strictly preferred answer sets*

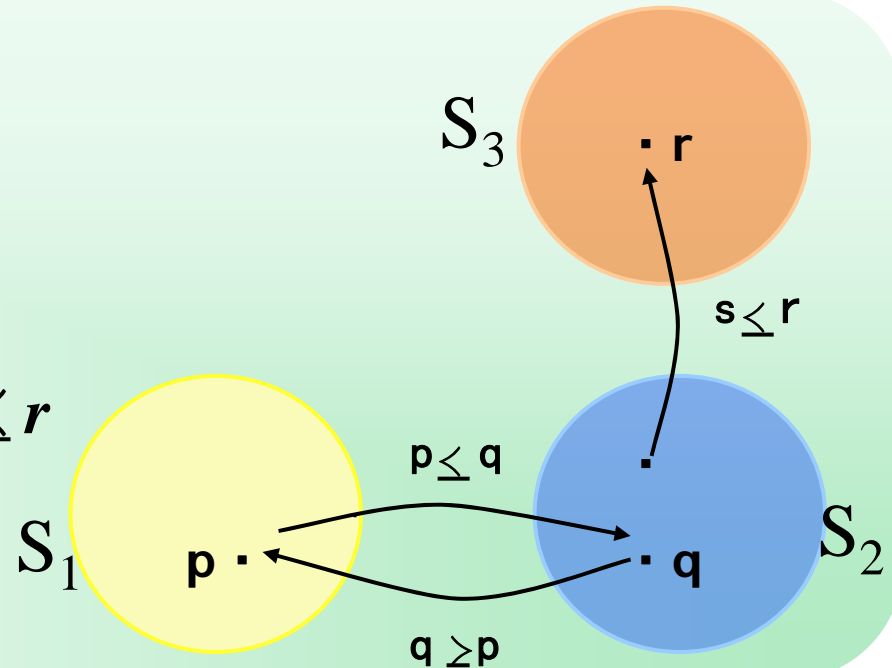
$P : p \leftarrow \text{not } q, \text{not } r,$

$q \leftarrow \text{not } p, \text{not } r,$

$r \leftarrow \text{not } p, \text{not } q,$

$s \leftarrow q$

$\Phi : p \leq q, q \leq p, s \leq r$



**Step3** :  $T[P, \Phi, S_1] \Rightarrow S_1 \sqsubseteq S_2$

$T[P, \Phi, S_2] \Rightarrow S_2 \sqsubseteq S_1 \quad S_2 \sqsubseteq S_3$

$T[P, \Phi, S_3] : \text{inconsistent}$

$\Sigma = \{ \sqsubseteq (s_1, s_2) \leftarrow, \sqsubseteq (s_2, s_1) \leftarrow, \sqsubseteq (s_2, s_3) \}$

**Step4** :  $\Psi \cup \Sigma \cup \{ \text{as}(s_1) \leftarrow, \text{as}(s_2) \leftarrow, \}$

## 4. Application to Legal Reasoning

《 Legal problem (Gorden, 1993) 》

$P$ :  $posses \leftarrow, ship \leftarrow, \neg filstate \leftarrow,$   
 $perfected \leftarrow posses, not ab1, \quad (UCC)$   
 $\neg perfected \leftarrow ship, \neg filstate, not ab2, \quad (SMA)$   
 $ab1 \mid not ab1, \quad ab2 \mid not ab2, \quad \leftarrow ab1, ab2,$   
 $ucc \leftarrow not ab1, \quad sma \leftarrow not ab2.$

Answer sets of  $P$ :

$S_1 = \{ \text{perfected}, posses, ship, \neg filstate, ab2, ucc \}$

$S_2 = \{ \neg perfected, posses, ship, \neg filstate, ab1, sma \}$

 **Conflict** between **UCC** and **SMA !!**

The principle of *Lex Posterior* gives precedence *newer* laws.

The principle of *Lex Superior* gives precedence to laws supported by the *higher authority*.

*UCC* is newer than *SMA*, and *SMA* has higher authority than *UCC*.

《Extended rule 2 of  $\Gamma$ 》

$\Phi_1$  :  $moreRecent(ucc_t, sma_t) \leftarrow,$   
 $fed(sma_t) \leftarrow, \quad state(ucc_t) \leftarrow,$

$lp(Y,X) \leftarrow moreRecent(X,Y),$

$ls(Y,X) \leftarrow fed(X), \quad state(Y),$

$\underline{\leq}(Y,X) \leftarrow lp(Y,X), \quad not \quad conf_1(X,Y), \quad (LP)$

$\underline{\leq}(Y,X) \leftarrow ls(Y,X), \quad not \quad conf_1(X,Y), \quad (LS)$



$T[P, \Phi_1, S_1] \Rightarrow S_1 \sqsubseteq S_2$   
 $T[P, \Phi_1, S_2] \Rightarrow S_2 \sqsubseteq S_1$

$S_1, S_2$  : preferred answer sets

**Conflict** between *LP* and *LS* !!




## Meta-priority:

$$\text{LexPosterior}(X, Y) \leq \text{LexSuperior}(U, V)$$

PLP  $(P, \Phi_2)$ :

$$\Phi_2 = \Phi_1 \cup \{ \text{conf}_1(Y, X) \leftarrow \text{lp}(X, Y), \text{ls}(Y, X), \text{not conf}_2(X, Y) \}$$

  $T[P, \Phi_1, S_2] \Rightarrow \text{inconsistent!}$

Only  $S_2$  is a preferred answer set of a PLP  $(P, \Phi_2)$ .

$S_1 = \{ \text{perfected}, \text{posses}, \text{ship}, \neg \text{filstate}, \text{ab2}, \text{ucc} \}$

$S_2 = \{ \neg \text{perfected}, \text{posses}, \text{ship}, \neg \text{filstate}, \text{ab1}, \text{sma} \}$

## 5. Related works and conclusion

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- propose a procedure to compute preferred answer sets of a PLP  $(P, \Phi)$  in answer set programming.
- prove the *soundness* and *completeness* theorems for the procedure.
- The procedure enables PLPs to handle dynamic preferences in addition to the original static ones.
- Example 5 is a counter example for the soundness of the Sakama and Inoue's naïve procedure.

Their procedure becomes sound by using pre-order priority relation  $\leq$  instead of strict priority relation  $<$  from  $\Phi$ .

# 《Future works》

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- We are now implementing our procedure by using the ASP solver *dlv* and *C++*.
- More precise formalization to accomodate dynamic preferences in the framework of PLPs will be shown in our subsequent paper.

Thank you

*for your Attention*