

# Negotiation by Abduction and Relaxation

**Chiaki Sakama**

**Wakayama University**

**Katsumi Inoue**

**National Institute of Informatics**



# Motivation

- In negotiation dialogues, agents generate proposals by reasoning on their own goals.
- In automated negotiation, behavior of agents is usually represented as specific (meta-)knowledge of an agent, or specified as negotiation protocols in particular problems.
- The goal of this research is to develop **general inference rules** for producing proposals and to mechanize a process of exchanging (counter-)proposals in negotiation dialogues.

# Contributions

- Introduce methods for generating 3 different types of proposals:
  - **conditional proposals** by **abduction**
  - **neighborhood proposals** by **relaxation**
  - **conditional neighborhood proposals** by abduction and relaxation
- Develop a **negotiation protocol** between two agents.
- Provide a **procedure** for computing proposals.

# Problem Setting

- **one-to-one negotiation** between two agents.
- An agent has a knowledge base represented by an **abductive logic program**.
- Negotiation proceeds in a series of **rounds** and each agent makes a proposal at every round.
- An agent that received a proposal responds in two ways: accept/reject the proposal or building a **counter-proposal**.

# Logic Programming (or Answer Set Programming)

A logic program considered here contains **disjunction** ( $;$ ), **explicit negation** ( $\neg$ ), and **default negation** (**not**), which are used for representing **incomplete information**. The meaning of a program is given by **answer sets**.

Example (A scholar in Hawaii)

*swimming ; shopping*  $\leftarrow$   $\neg$  *study*,  
 $\neg$  *study*  $\leftarrow$  **not** *study*.

The program has two answer sets:  
{ *swimming* ,  $\neg$  *study* } and  
{ *shopping* ,  $\neg$  *study* }.

# Extended Abduction

- An **abductive program** is a pair  $\langle P, H \rangle$  where  $P$  is a logic program and  $H$  is a set of literals representing **hypotheses** (called **abducibles**).
- Given an **observation**  $G$  as a conjunction  $L_1, \dots, L_m, \text{not } L_{m+1}, \dots, \text{not } L_n$ , ( $L_i$ : literal) a pair  $(E, F)$  is an **explanation** of  $G$  if
  1.  $(P \setminus F) \cup E$  has an answer set satisfying  $G$ ,
  2.  $(P \setminus F) \cup E$  is consistent,
  3.  $E$  and  $F$  are sets of ground literals s.t.  
 $E \subseteq H \setminus P$  and  $F \subseteq H \cap P$ .
- A set  $S$  is a **belief set** of  $\langle P, H \rangle$  satisfying  $G$  if  $S$  is an answer set of  $(P \setminus F) \cup E$  satisfying 1-3 above.
- An explanation  $(E, F)$  is **minimal** if  $E \subseteq E'$  and  $F \subseteq F'$  for any explanation  $(E', F')$ .

# Proposal

- A **proposal**  $G$  is a conjunction  
 $L_1, \dots, L_m, \text{not } L_{m+1}, \dots, \text{not } L_n$ , ( $L_i$ : literal)  
where every variable in  $G$  is existentially  
quantified and range-restricted.
- A proposal  $G$  is called a **critique** if  
 $G = \text{accept}$  or  $G = \text{reject}$ .
- A proposal  $G$  is **accepted** in an abductive  
program  $\langle P, H \rangle$  if  $P$  has an answer set  
satisfying  $G$ .

# Conditional Proposal by Abduction

Given an abductive program  $\langle P, H \rangle$  and a proposal  $G$ , if  $(E, F)$  is a minimal explanation of  $G \theta$  for some substitution  $\theta$ , the conjunction

$G \theta, E, \text{not } F$

is called a **conditional proposal**, where  $E, \text{not } F$  represents  $A_1, \dots, A_k, \text{not } A_{k+1}, \dots, \text{not } A_l$  for  $E = \{ A_1, \dots, A_k \}$  and  $F = \{ A_{k+1}, \dots, A_l \}$ .

\* A conditional proposal represents a minimal requirement for accepting  $G$ .



# Example

An agent seeks a position of a research assistant at the computer department of a university with the condition that the salary is *at least 50K USD* per year.

Then, he makes his request as

$G = \textit{assist}(\textit{comp\_dept}), \textit{salary}(x), x \geq 50K .$

# Example

The university has the abductive program  $\langle P, H \rangle$ :

*P:*  $salary(40K) \leftarrow assist(comp\_dept), \mathbf{not} hasPhD,$   
 $salary(60K) \leftarrow assist(comp\_dept), hasPhD,$   
 $salary(50K) \leftarrow assist(math\_dept),$   
 $salary(55K) \leftarrow sys\_admin(comp\_dept),$   
 $employee(x) \leftarrow assist(x),$   
 $employee(x) \leftarrow sys\_admin(x),$   
 $assist(comp\_dept) ; assist(math\_dept) ;$   
 $sys\_admin(comp\_dept) \leftarrow,$

*H:*  $hasPhD .$

# Example

- First,  $P$  has no answer set satisfying  $G$ , so  $G$  is not accepted as it is.
- Next,  $(E,F)=(\{ \textit{hasPhD} \},\{ \})$  becomes the minimal explanation of  
 $G \theta = \textit{assist}(\textit{comp\_dept}), \textit{salary}(60K)$   
with  $\theta = \{ x / 60K \}$ .  
Then, the conditional proposal made by the university becomes  
 $\textit{assist}(\textit{comp\_dept}), \textit{salary}(60K), \textit{hasPhD}$ .

# Relaxation

- **Relaxation** is a technique of **cooperative query answering** in databases.
- When an original query fails in a DB, relaxation *expands* the scope of the query by relaxing constraints in the query.
- This allows the DB to return **neighborhood answers** which are related to the original query.

# Methods for Relaxation

Given an abductive program  $\langle P, H \rangle$  and a proposal  $G$ ,  $G$  is relaxed to  $G'$  in the following three ways:

- **Anti-instantiation**: Construct  $G'$  s.t.  $G' \theta = G$  for some substitution  $\theta$ .
- **Dropping conditions**: Construct  $G'$  s.t.  $G' \subset G$ .
- **Goal replacement**: When  $G$  is a conjunction  $G_1, G_2$  and there is a rule  $L \leftarrow G_1'$  in  $P$  s.t.  $G_1' \theta = G_1$ , build  $G'$  as  $L \theta, G_2$ .

# Neighborhood Proposals by Relaxtion

- Let  $G'$  be a proposal by anti-instantiation or dropping conditions. If  $P$  has an answer set satisfying  $G' \theta$ ,  $G' \theta$  is called a **neighborhood proposal by anti-instantiation/dropping conditions**.
- Let  $G'$  be a proposal by goal replacement. For a replaced literal  $L \in G'$  and a rule  $H \leftarrow B$  in  $P$  s.t.  $L = H \sigma$  for some substitution  $\sigma$ , put  $G'' = (G' \setminus \{L\}) \cup B \sigma$ . If  $P$  has an answer set satisfying  $G'' \theta$ ,  $G'' \theta$  is called a **neighborhood proposal by goal replacement**.

## Example, cont.

Given the initial proposal

$$G = \text{assist}(\text{comp\_dept}), \text{salary}(x), x \geq 50K,$$

produce

$$G_1 = \text{assist}(w), \text{salary}(x), x \geq 50K$$

by substituting *comp\_dept* with a variable *w*.

As  $G_1 \theta_1 = \text{assist}(\text{math\_dept}), \text{salary}(50K)$

with  $\theta_1 = \{ w / \text{math\_dept} \}$  is satisfied by an answer set of *P*,  $G_1 \theta_1$  becomes a neighborhood proposal by anti-instantiation.

# Example

Given the initial proposal

$$G = \text{assist}(\text{comp\_dept}), \text{salary}(x), x \geq 50K,$$

produce

$$G_2 = \text{assist}(\text{comp\_dept}), \text{salary}(x),$$

by dropping the salary condition.

$$\text{As } G_2 \theta_2 = \text{assist}(\text{comp\_dept}), \text{salary}(40K)$$

with  $\theta_2 = \{x / 40K\}$  is satisfied by an answer set of  $P$ ,  $G_2 \theta_2$  becomes a neighborhood proposal by dropping conditions.



# Exxxample

Given the initial proposal

$$G = \text{assist}(\text{comp\_dept}), \text{salary}(x), x \geq 50K,$$

produce

$$G_3 = \text{employee}(\text{comp\_dept}), \text{salary}(x), x \geq 50K$$

by replacing  $\text{assist}(\text{comp\_dept})$  with  $\text{employee}(\text{comp\_dept})$  using the rule  $\text{employee}(x) \leftarrow \text{assist}(x)$  in  $P$ .

By  $G_3$  and the rule  $\text{employee}(x) \leftarrow \text{sys\_admin}(x)$  in  $P$ ,

$$G_3' = \text{sys\_admin}(\text{comp\_dept}), \text{salary}(x), x \geq 50K$$

is produced. As

$$G_3' \theta_3 = \text{sys\_admin}(\text{comp\_dept}), \text{salary}(55K)$$

with  $\theta_3 = \{ x / 55K \}$  is satisfied by an answer set of  $P$ ,

$G_3 \theta_3$  is a neighborhood proposal by goal replacement.

# Negotiation Protocol: Overview

- Negotiation starts by a proposal of one agent  $Ag_1$ .
- Another agent  $Ag_2$  either accepts it, rejects it, or builds a counter-proposal. In case of acceptance, negotiation ends in success. In case of rejection,  $Ag_2$  informs  $Ag_1$  of a reason for rejection.
- In response to rejection,  $Ag_1$  tries to change its initial proposal. In response to a counter-proposal made by  $Ag_2$ ,  $Ag_1$  evaluates it.
- The process iterates until negotiation ends in success or failure. A negotiation fails when every counter-proposal made by one agent is rejected by another agent.

# Negotiation Protocol: Tips

- Possible (counter-)proposals are accumulated in a **negotiation set** of each agent at every round.
- Rejected proposals are accumulated in a **failed proposal set** to avoid proposing once rejected proposals.
- Reasons for rejection of proposals by one agent are accumulated in a **critique set** of another agent. An agent takes care of its critique set for building new proposals.

# Properties

Theorem: Let  $Ag_1$  and  $Ag_2$  be two agents having abductive programs  $\langle P_1, H_1 \rangle$  and  $\langle P_2, H_2 \rangle$ , respectively.

- If  $\langle P_1, H_1 \rangle$  and  $\langle P_2, H_2 \rangle$  are function-free (i.e., both  $P_i$  and  $H_i$  contains no function symbol), every negotiation terminates.
- If a negotiation terminates with agreement on a proposal  $G$ , both  $\langle P_1, H_1 \rangle$  and  $\langle P_2, H_2 \rangle$  have belief sets satisfying  $G$ .

# Example – Negotiation Dialogue

A seller has the abductive program  $\langle P_s, H_s \rangle$ :

```
Ps: pc(b1, 1G, 512M, 80G) ; pc(b2, 1G, 512M, 80G) ←,  
      % pc(brand, CPU, Memory, HDD)  
      dvd-rw ; cd-rw ←,  
normal_price(1300) ← pc(b1, 1G, 512M, 80G), dvd-rw,  
normal_price(1200) ← pc(b1, 1G, 512M, 80G), cd-rw,  
normal_price(1200) ← pc(b2, 1G, 512M, 80G), dvd-rw,  
price(x) ← normal_price(x), add_point(x),  
price(x*0.9) ← normal_price(x), pay_cash, not add_point(x),  
add_point ←.  
Hs: add_point, pay_cash.
```

# Example

A buyer has the abductive program  $\langle P_b, H_b \rangle$ :

$P_b$ : *drive*  $\leftarrow$  *dvd-rw*,

*drive*  $\leftarrow$  *cd-rw*,

*price(x)*  $\leftarrow$ ,

*pc(b<sub>1</sub>, 1G, 512M, 80G)*  $\leftarrow$ ,

*dvd-rw*  $\leftarrow$ ,

*cd-rw*  $\leftarrow$  **not** *dvd-rw*,

*% if dvd-rw is not available, buy cd-rw.*

$\leftarrow$  *pay\_cash*, *% do not pay by cash*

$\leftarrow$  *price(x)*,  $x > 1200$ , *% price must not exceed 1200*

$H_b$ : *dvd-rw*

# Example

(1<sup>st</sup> round) First, the buyer proposes:

$G_b^1: pc(b_1, 1G, 512M, 80G), dvd-rw, price(x), x \leq 1200.$

$P_s$  has no answer set satisfying  $G_b^1$ , then the seller does not accept it. The seller abduces the minimal explanation  $(E, F) = (\{ pay\_cash \}, \{ add\_point \})$  which explains  $G_b^1$  with  $\theta_1 = \{ x/1170 \}.$

The seller constructs the conditional proposal:

$G_s^1: pc(b_1, 1G, 512M, 80G), dvd-rw, price(1170),$   
 $pay\_cash, \textit{not add\_point}$

and offers it to the buyer.

# Exxxxample

(2<sup>nd</sup> round) The buyer does not accept  $G_s^1$  because she cannot pay it by cash. The buyer returns the critique

$G_b^2$ : *reject*

to the seller.

As no other conditional proposal exists, the seller next produces neighborhood proposals. He relaxes  $G_b^1$  by dropping  $x \leq 1200$  in the condition and produces

$pc(b_1, 1G, 512M, 80G), dvd-rw, price(x)$ .

As  $P_s$  has an answer set satisfying

$G_s^2$ :  $pc(b_1, 1G, 512M, 80G), dvd-rw, price(1300)$ ,

the seller offers it as a new proposal.



# Exxxxxxample

(3<sup>rd</sup> round) The buyer does not accept  $G_s^2$  because she cannot pay more than 1200. The buyer again returns the critique

$G_b^3$ : *reject*

to the seller.

The seller then considers another proposal by replacing the brand  $b_1$  with a variable  $w$ ,  $G_b^1$  now becomes  $pc(w, 1G, 512M, 80G), dvd-rw, price(x), x \leq 1200$ .

As  $P_s$  has an answer set satisfying

$G_s^3$ :  $pc(b_2, 1G, 512M, 80G), dvd-rw, price(1200)$ ,

the seller offers it as a new proposal.

# Exxxxxxample

(4<sup>th</sup> round) The buyer does not accept  $G_s^3$  because  $P_b$  has no answer set satisfying it. The buyer then changes her original goal. She relaxes  $G_b^1$  by goal replacement using the rule  $drive \leftarrow dvd-rw$  in  $P_b$  and produces

$pc(b_1, 1G, 512M, 80G), drive, price(x), x \leq 1200.$

Next, using the rule  $drive \leftarrow cd-rw$  in  $P_b$  she produces

$pc(b_1, 1G, 512M, 80G), cd-rw, price(x), x \leq 1200.$

As the minimal explanation  $(E, F) = (\{\}, \{dvd-rw\})$  explains the above, the buyer proposes the conditional neighborhood proposal

$G_b^4: pc(b_1, 1G, 512M, 80G), cd-rw, \mathbf{not} \text{ } dvd-rw, price(x), x \leq 1200$

to the seller. Since  $P_s$  also has an answer set satisfying  $G_b^4$ , the seller accepts it and sends the message  $G_s^4 = \text{accept}$  to the buyer. Thus, the negotiation ends in success.

# Computation

Given a proposal  $G$  and an abductive program  $\langle P, H \rangle$ ;

- a **conditional proposal** is computed using extended abduction by computing a minimal explanation of  $G$ .
- a **neighborhood proposal** is computed by first building a relaxed/neighborhood goal  $G'$  then computing an answer set satisfying  $G' \theta$ .
- a **conditional neighborhood proposal** is computed by combining the above two steps.

These computation is realized on top of the existing answer set solvers.